

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Akio HIGASHI et al. : **Mail Stop: PCT**  
Serial No. NEW : **Attorney Docket No. 2006\_1188A**  
Filed August 3, 2006 :

RECEPTION DEVICE, TRANSMISSION  
DEVICE, SECURITY MODULE, AND  
DIGITAL RIGHT MANAGEMENT SYSTEM  
[Corresponding to PCT/JP2005/004202  
Filed March 10, 2005]

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application  
PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, or  
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and  
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

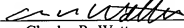
2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or  
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☒ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office International Search Report (in the English language) submitted herewith,
  - c. ☒ the concise explanation contained in the specification of the present application at page 3,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:  
WO 03/085929 & US 2003/0190044 correspond to JP 2004-5526  
US 2004/0034786 corresponds to WO 03/098512 & JP 2004-46809  
US 2004/0103011 & EP 1 391 838 A1 correspond to WO 02/097694
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Akio HIGASHI et al.

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 IAP11 Rec'd PTO 18 03 AUG 2006

Sheet 1 of 1		INFORMATION DISCLOSURE STATEMENT					
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date Submitted to PTO: August 3, 2006		ATTY DOCKET NO. 2006_1188A	SERIAL NO. NEW				
APPLICANT Akio HIGASHI et al.		FILING DATE August 3, 2006					
GROUP							
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2003/0190044	10/2003	Higashi et al.			
	AB	2004/0034786	2/2004	Okamoto et al.			
	AC	2004/0103011	5/2004	Hatano et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	2004-5526	1/2004	JP			abstract
	AK	03/085929	10/2003	WO			X
	AL	2004-21424	1/2004	JP			abstract
	AM	2004-46809	2/2004	JP			abstract
	AN	03/098512	11/2003	WO			abstract
	AO	02/097694	12/2002	WO			abstract
	AP	1 391 838 A1	2/2004	EP			X
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AQ						
	AR						
EXAMINER /Dante Ravetti/				DATE CONSIDERED 12/01/2008			

\*EXAMINER: Initial if reference considered, double check for citation errors, and discuss with APPROPRIATE. Form filed through official Post in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH: /D.R./